· GRANT / -12/ 11/ 16

532585

Final Report

Application of Atmospherically Corrected Reflectance Spectra from HIRIS to the Identification and Mapping of Aeolian Components in Soils

GRANT # NAS-31711 Alexander F.H. Goetz CSES/CIRES

University of Colorado, Boulder, Colorado 80309

#### **Introduction**

This final report encompasses all efforts funded under NASA including the HIRIS team leader activities. The results of the HIRIS team efforts as a group is summarized in the HIRIS Science Requirements Document. The rest of the research effort is codified in a number of published papers in peer-reviewed journals and proceedings of scientific meetings. This report is organized into a series of topic headings where a short summary is given and the appropriate references cited. Copies of all the relevant papers are collected in the appendix.

## High Resolution Imaging Spectrometer (HIRIS)

The 14 member HIRIS Science Team represented all the major scientific disciplines that could make use of Earth observations from space with an imaging spectrometer. The instrument development was carried out at the Jet Propulsion Laboratory with science requirements support from the HIRIS Science Team. In 1992, NASA Headquarters informed the Team that the HIRIS instrument had been removed from consideration for flight on the EOS platform. The Team was instructed to concentrate on applications of imaging spectrometry to vegetation analysis. Therefore, a number of the papers cited below are associated with applications of imaging spectrometry that were not foreseen in the original proposal.

The HIRIS instrument, as envisioned by the team, would cover the  $0.4\text{-}2.5~\mu m$  wavelength with 210 spectral bands in an image swath 24 km wide (Goetz, 1989a; Goetz and Herring, 1989, Goetz and Davis, 1991). The terrestrial analog to HIRIS is AVIRIS (Vane and Goetz, 1993), the airborne visible/infrared imaging spectrometer, flown on the NASA ER-2. All the studies carried out under this contract made use of AVIRIS data as an analog to HIRIS. The full description of the HIRIS instrument and the official science requirements developed by the Science Team is embodied in the HIRIS Science Requirements Document (Goetz et al, 1991) attached in the appendix to this report.

# Objectives and results

The major objective of HIRIS observations was to measure the composition of continental rock units and the dust coatings on them. In order to carry out this objective, it was necessary to develop a number of atmospheric correction techniques and this effort consumed much of the resources during the shortened contract, since a flight instrument was never developed.

#### Analysis software

At the beginning of this contract no commercial software existed to analyze imaging spectrometer data. A system called SIPS was developed (Kruse et al, 1993) that was subsequently licensed at no cost to over 200 research groups world-wide. SIPS was the foundation for the commercial software package called ENVI, distributed by RSI of Boulder, CO.

## Atmospheric water vapor and clouds

Extensive work was carried out on developing a model to remove the effects of atmospheric absorption (Gao and Goetz, 1990a; Gao et al, 1991a,b; Gao et al, 1993; Gao et al, 1994). In particular the effects of atmospheric water vapor influences the at-sensor radiance in 60% of the spectral range. Precisions of 5-8% in total precipitable water vapor were achieved.

A new method for cloud cover determination was developed (Gao and Goetz, 1991). It was shown that, by the standard methods of threshholding (Kuo et al, 1990), significant underestimates are often made. Sub-visual clouds were mapped for the first time over land using the  $1.37 \, \mu m$  water vapor absorption band (Gao et al, 1993; Goetz, 1994)

### Instrument spectral calibration

In-flight calibration of imaging spectrometers is necessary because atmospheric models require spectral calibration accuracy of 0.1 nm or better. A method was developed for in-flight wavelength calibration using the 762 nm oxygen band (Goetz et al, 1995) that has the required accuracy.

#### Mineralogic Mapping

Imaging spectrometry makes it possible to map mineral composition directly. In the wavelength region in question both electronic transitions and vibrational modes in crystalline solids can be mapped. Methods to unmix spectra into their compositional components was begun under this contract (Goetz and Boardman, 1989; Boardman and Goetz, 1991). Other research included identifying buddingtonite and determining its relative abundance at Cuprite NV (Felzer et al, 1991; Felzer et al, 1994). General mineral mapping of OH-bearing and Fe-bearing minerals was reported (Goetz, 1989b; Taranik et al, 1990; Goetz et al, 1991a,b). In all cases imaging spectrometer data are far superior to multispectral data for the identification of surface mineralogy.

#### Vegetation mapping

At the direction of Dr. Diane Wickland of NASA Headquarters, HIRIS Team efforts were directed toward determining the feasibility of mapping chemical constituents in vegetation canopies. In particular the effects of liquid water in the leaves made it difficult to observe spectral features associated with canopy chemical constituents (Goetz et al, 1990; Gao and Goetz, 1992; Goetz et al, 1992; Gao and Goetz, 1994; Goetz and Boardman, 1995), although some success was achieved.

### Eolian deposit mapping

Since HIRIS was not launched, it was not possible to carry out the mapping objective proposed. However, a beginning was attempted using Landsat data in an area in eastern Colorado (Forman et al, 1992). Further discussions were presented in general invited talks at symposia (Goetz, 1993)

## **Conclusions**

The contract was completed without being able to launch HIRIS. However, a number of new and revolutionary techniques were developed that make possible the further development of imaging spectrometry into a major remote sensing tool.

## **Appendix**

- Boardman, J.W. and A.F.H. Goetz, 1991: Sedimentary facies analysis using AVIRIS data: A geophysical inverse problem, *Proceedings of the Second Annual JPL Airborne Geoscience Workshop*, 4-13.
- Felzer, B., P. Hauff and A.F.H. Goetz, 1991: Quantitative reflectance spectroscopy using NH<sub>4</sub> absorption bands for Buddingtonite and associated minerals at Cuprite, Nevada, *Proceedings of the Eighth Thematic Conference on Geologic Remote Sensing*, Denver, Colorado, April 29- May 2, 1991, v. 8, 549-562.
- Felzer, B., P. Hauff and A.F.H. Goetz, 1994: Quantitative reflection spectroscopy of Buddingtonite from the Cuprite Mining District, Nevada, Journal of Geophysical Research, February 10, 1994, v. 99, no 2, 2887-2895.
- Forman, S.L., A.F.H. Goetz, and R.H. Yuhas, 1992: Large scale stabilized dunes on the High Plains of Colorado: Understanding the landscape response to Holocene climates with the aid of images from space, *Geology*, February, 1992, v. 20, 145-148.
- Gao, B.-C. and A.F.H. Goetz, 1990a: Column atmospheric water vapor and vegetation liquid water retrievals from airborne imaging spectrometer data, *Journal of Geophysical Research*, v. 95, no D4, 3549-3564, Also presented at the *Twelfth Canadian Symposium on Remote Sensing*, Vancouver, British Columbia, July 10-14, 1989, (IGARSS 89').
- Gao, B.-C. and A.F.H. Goetz, 1990b: Determination of cloud area from AVIRIS data, Proceedings of the Second Airborne Visible/Infrared Imaging Spectrometer (AVIRIS) Workshop, Jet Propulsion Laboratory, Pasadena, CA, November 15, 1990, JPL Publication 90-54, 157-161.
- Gao, B.-C. and A.F.H. Goetz, 1991: Cloud area determination from AVIRIS data using water vapor channels near 1µm, *Journal of Geophysical Research*, February 20, 1991, v. 96, no D2, 2857-2864.
- Gao, B.-C., A.F.H. Goetz and J.A. Zamudio, 1991a: Removing atmospheric effects from AVIRIS data for surface reflectance retrievals, *Proceedings of the Second Annual JPL Airborne Geoscience Workshop*, 80-86.
- Gao, B.-C, K.S. Kierein-Young, A.F.H. Goetz, E.R. Westwater, B.B. Stakow and D. Birkenheuer, 1991: Case studies of water vapor and surface liquid water from AVIRIS data measured over Denver and Death Valley, *Proceedings of the Second Annual JPL Airborne Geoscience Workshop*, 222-231.
- Gao, B.-C., and A.F.H. Goetz, 1992: A linear spectral matching technique for retrieving equivalent water thickness and biochemical constituents of green vegetation, *Proceedings of the Third Airborne Annual JPL Geoscience Workshop*, (AVIRIS, TIMS, and AIRSAR), Jet Propulsion Laboratory, Pasadena, CA, v. II, 35-37.

- Gao, B.-C., E.R. Westwater, B.B. Stankov, D. Birkenheuer, and A.F.H. Goetz, 1992: Comparison of column water vapor measurements using downward-looking optical and infrared imaging systems and upward-looking microwave radiometers, *Journal of Applied Meteorology*, October, 1992, v. 31, no 10, 1193-1201.
- Gao, B.-C., A.F.H. Goetz, and W.J. Wiscomb, 1993: Cirrus cloud detection from airborne imaging spectrometer data using the 1.38 μm water vapor band, *Geophysical Research Letters*, v. 20, no 4, 301-304.
- Gao, B.-C., A.F.H. Goetz, E.R. Westwater, J.E. Conel, and R.O. Green, 1993: Possible near-IR channels for remote sensing precipitable water vapor from geostationary satellite platforms, *Journal Applied Meteorology*, v. 32(12), 1791-1801.
- Gao, B.-C., and A.F.H. Goetz, 1994: Extraction of dry leaf spectral features from reflectance spectra of green vegetation, *Remote Sensing of Environment*, v. 47, 369-374.
- Goetz, A.F.H., 1989a: The High Resolution Imaging Spectrometer (HIRIS) Facility instrument for the first polar orbiting platform,.) Proceedings of the Twelfth Canadian Symposium on Remote Sensing, (IGARSS '89) July 10-14, 1989, Vancouver, British Columbia, Canada.
- Goetz, A.F.H., 1989b: Spectral Remote Sensing in Geology, *Theory and Applications of Optical Remote Sensing*, John Wiley & Sons, Inc., Canada, 491-526.
- Goetz, A.F.H. and J.W. Boardman, 1989: Quantitative determination of imaging spectrometer specifications based on spectral mixing models, *Proceedings of the Twelfth Canadian Symposium on Remote Sensing*, (IGARSS '89), Vancouver, British Columbia, Canada.
- Goetz, A.F.H. and M. Herring, 1989: The High Resolution Imaging Spectrometer (HIRIS) for EOS, *IEEE Transactions on Geoscience and Remote Sensing*, v. 27, no 2, 136-144.
- Goetz, A.F.H., B.-C. Gao, C.A. Wessman and W.D. Bowman, 1990: Estimation of biochemical constituents from fresh, green leaves by spectrum matching techniques, *Proceedings of the International Geoscience and Remote Sensing Symposium, Remote Sensing Science for the Nineties (IGARSS '90)*, v. 2, 2922-2924.
- Goetz, A.F.H., C.O. Davis, J.D. Aber, R.N. Clark, J. Dozier, H. Kleffer, J.M. Melack, S.L. Ustin, K.L. Carder, S.A.W. Gerstl, D.A. Landgrebe, L.C. Rowan, R.M. Welch and C.A. Wessman, 1991: HIRIS Science Requirements Document, August 1, 1991, JPL D-7843.
- Goetz, A.F.H. and C.O. Davis, 1991: The High Resolution Imaging Spectrometer (HIRIS): Science and Instrument, *International Journal of Imaging Systems and Technology*, v. 3, 131-143.
- Goetz, A.F.H., P. Hauff, M. Shippert and A.G. Maecher, 1991a: Rapid detection and

- identification of OH-bearing minerals in the 0.9 µm region using a new portable field spectrometer, *Proceedings of the Eight Thematic Conference on Geologic Remote Sensing*, April 29- May 2, 1991, Denver, Colorado, v. 8, 1-11.
- Goetz, A.F.H., P. Hauff, M. Shippert and A.G. Maecher, 1991b: Field identification of OH-bearing minerals in the 0.9-1.0 µm region, Proceedings of the International Geoscience and Remote Sensing Symposium, Remote Sensing Symposium, Remote Sensing: Global Monitoring for Earth Management (IGARSS '91), v. 4, 2064-2068.
- Goetz, A.F.H., B.-C. Gao and C.A. Wessman, 1992: Vegetation biochemistry: What can imaging spectrometry tell us about canopies? *Proceedings of the 6<sup>th</sup> Australian Remote Sensing Conference*, Wellington, New Zealand, v. 3, 50-60.
- Goetz, A.F.H., 1993: Sensing, spectra and scaling: What's in store for land observations? Proceedings of the Pecora XII Symposium, Land Information from Space-Based Systems, 463-470.
- Goetz, A.F.H., 1994: Characterization of cirrus clouds from multi-pass AVIRIS data, Proceedings of the Workshop on Atmospheric Correction of Landsat Imagery, 41-43, and Proceedings of ISSSR, v. I, 195-203.
- Goetz, A.F.H., and J.W. Boardman, 1995: Spectroscopic measurement of leaf water status, Proceedings of the International Geoscience and Remote Sensing Symposium (IGARSS 95'), v. II, 978-980.
- Goetz, A.F.H., K.B. Heidebrecht and T.G Chrien, 1995: High accuracy in-flight wavelength calibration of imaging spectrometry data. *Proceedings of ISSSR.* v. II, 2-13. Also published in *Summaries of the Fifth Annual JPL Airborne Earth Science Workshop.* v. I, 67-69.
- Kruse, F.A., A.B. Lefkoff, J.B. Boardman, K.B. Heidebrecht and A.F.H. Goetz, 1993: The Spectral Image Processing System (SIPS)-Interactive visualization and analysis of imaging spectrometer data, *Remote Sensing of Environment*, v. 44, 145-163.
- Kuo, K.S., R.M. Welch, B.-C. Gao and A.F.H. Goetz, 1990: Cloud identification and optical thickness retrieval using AVIRIS data, *Proceedings of the Second Airborne Visible/Infrared Imaging Spectrometer (AVIRIS) Workshop*, Jet Propulsion Laboratory, Pasadena, CA, June 4-5, 1990, JPL Publication 90-54, 149-156.
- Taranik, D.L., F.A. Kruse, A.F.H. Goetz and W.W. Atkinson, 1990: Remote detection and mapping of supergene iron oxides in the Cripple Creek mining district, Colorado, *Proceedings of the International Geoscience and Remote Sensing Symposium, Remote Sensing Science for the Nineties (IGARSS '90)*, v. 3, 1707-1709.
- Vane, G. and A.F.H. Goetz, 1993: Terrestrial imaging spectrometry: Current status, future trends, *Remote Sensing of Environment*, v. 44, 117-126.